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Docket No.: 1293.1200

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Kun-ho CHO

Serial No. 09/848,343

Group Art Unit: 2655

Confirmation No. 6720

Filed: May 4, 2001

Examiner: Nabil Z. Hindi

For:

OPTICAL PICKUP FOR HIGH DENSITY RECORDING/REPRODUCTION AND

METHOD TO DETECT A REPRODUCTION SIGNAL

# COMMUNICATION REGARDING INFORMATION DISCLOSURE STATEMENT PREVIOUSLY FILED

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The Applicant respectfully requests that the Information Disclosure Statement filed August 17, 2004 (copy enclosed with date-stamped postcard) be considered by the Examiner. The Applicant also requests that a copy of Form PTO-1449 be signed and returned to indicate that the references cited thereon have been considered.

By:

Respectfully submitted,

STAAS & HALSEY LLP

Date: 2605

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# HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Kun-ho CHO

Application No.: 09/848,343

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OPTICAL PICKUP FOR HIGH DENSITY RECORDING/REPRODUCTION AND

METHOD TO DETECT A REPRODUCTION SIGNAL

#### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1.	E	nciosures	accompanying this information disclosure Statement are:
		1a. ⊠ 1b. ⊠	Form PTO-1449. Copy(ies) of IDS citation(s), except for U.S. Patents and U.S. Patent Application publications for applications filed on or after June 30, 2003, in
		1c. 🗌	accordance with OG Notice of August 5, 2003.  English language copy of a communication(s) from a foreign Patent Office or a PCT International Search Report.
		1d. 🛚	English language translation (Abstract Only) attached to each non-English language publication.
		1e. 🗌	Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of each non-English publication.
		1f. 🗍	List of Copending Applications (ATTACHMENT 1(f), hereto).
		1g. 🗍	List of Additional Submitted Documents (ATTACHMENT 1(g), hereto).
2.		This Infor	mation Disclosure Statement is filed under 37 CFR §1.97(b):
			(Check either Item 2a or 2b or 2c or 2d)
		2a. 🔲	Within three months of the filing date of a national application;
		2b. 🗌	Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application.
		2c. 🗌	Before the mailing of a first Office Action on the merits; or
		2d. 🔲	Before the mailing of a first Office Action after the filing of a Request for

Continued Examination under § 1.114.

Serial No.: 09/848,343

3.	This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the period specified in paragraph 2 above but before the mailing date of any of a Final Office Action under § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise closes prosecution in the application, AND						
	·	(Check either Item 3a or 3b; Item 3b to be checked if any reference known for more than 3 months)					
	3a. ⊠ 3b. □	The § 1.97(e) Statement in Item 5 below is applicable; OR The \$180.00 fee set forth in 37 C.F.R. §1.17(p) is:  enclosed.					
		to be charged to Deposit Account No. 19-3935.					
4.		mation Disclosure Statement is filed under 37 CFR §1.97(d) after the period in paragraph 3 above, but on or before payment of the Issue Fee, AND  The § 1.97(e) Statement in Item 5 below is applicable; AND  The \$180.00 fee set forth in 37 C.F.R. §1.17(p) is:					
		<ul><li>enclosed.</li><li>to be charged to Deposit Account No. 19-3935.</li></ul>					
5.	Statemen	at under § 1.97(e) (applicable if Item 3a or Item 4 is checked) (Check either Item 5a or 5b)					
	5a. 🛚	In accordance with 37 CFR §1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this					
	5b. 🗌	Information Disclosure Statement. In accordance with 37 CFR §1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.					
6.	This is a 0 1.53(b).	continuation/divisional/continuation-in-part application under 37 CFR §					
		(Check appropriate Items 6a and/or 6b)					
	6a. 🗌	Copies of the publications listed on the attached Form PTO-1449 which were previously cited in prior application Serial No, filed on, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, have been omitted pursuant to 37 CFR § 1.98(d).					
	6b. 🗌	Copies of the publications listed on the attached Form PTO-1449 which were not previously cited in prior application Serial No, filed on, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, are provided herewith.					

Serial No.: 09/848,343

7.		This is a Request for Continued Examination under 37 CFR § 1.114.  (Check either Item 7a or 7b)						
		7a. 🗌 7b. 🗍	The Issue Fee has not been paid. A Petition to Withdraw from issue under 37 CFR §1.313(c) is filed concurrently herewith or has been granted. A continuation application under 37 CFR § 1.53(d) or Request for Continued Examination under 37 CFR 1.114, after payment of the Issue Fee is proper in accordance with 37 CFR § 1.53(d)(1)(ii) or 37 CFR 1.114(a), respectively.					
8.		This is a	Supplemental Information Disclosure Statement.					
			(Check either Item 8a or 8b)					
		8a. 🗌	This Supplemental Information Disclosure Statement under 37 CFR § 1.97(f) supplements the Information Disclosure Statement filed on A bona fide attempt was made to comply with 37 CFR § 1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental IDS can be considered as if properly filed on					
		8b. 🗌	This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR § 1.97 and 1.98, mailed (MPEP 609 C(1), Form & 6.49, Rev. 1, Feb. 2000, pp. 600-107)					
9.			nce with 37 CFR § 1.98, a concise explanation of what is presently it to be the relevance of each non-English language publication is:  (Check appropriate Items 9a, 9b, 9c and/or 9d)					
		9a. 🗌	satisfied for the non-English language publication(s) cited on the enclosed "English language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP § 609, Minimum Requirements for an Information Disclosure Statement, Part A(3) Concise Explanation of Relevance, 8th Ed., Rev. 2)					
	•	9b. □ 9c. ⊠	set forth in the application. satisfied because an English language translation (Abstract Only) is					
		00. <u>C</u> J	attached to each non-English language publication.					
		9d. 🗌	enclosed as Attachment 1(e), hereto.					
10.	be th	e, material an search	on is made that the information cited in this Statement is, or is considered to to patentability nor a representation that a search has been made (other report(s) from a counterpart foreign application or a PCT International port if submitted herewith). 37 CFR 88 1.97(g) and (h).					

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11. The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR § 1.17 for this Information Disclosure Statement and/or Petition to Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LIP

Michael J. Badagliacca

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Sheet 1 of 1 APPLICATION NO. ATTORNEY DOCKET NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 1293.1200 09/848,343 FIRST NAMED INVENTOR LIST OF REFERENCES CITED BY APPLICANT Kun-ho CHO FILING DATE GROUP ART UNIT (Use several sheets if necessary) 2655 May 4, 2001 **U.S. PATENT DOCUMENTS** 

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						·
	AC						
	AD						
	AE						
	AF						

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
AG	63-231736	09/27/88	Japan			Abstract Only
AH	05-73919	03/26/93	Japan			Abstract Only
Al	61-16053	01/24/86	Japan			Abstract Only
AJ						
AK						
AL						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AM	И	-		
AN	N			

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



# Please Date Stamp and return

INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449. COPY OF JAPANESE OFFICE ACTION AND 3 CITED REFERENCES

APPLICANT(S):

Kun-ho CHO

SERIAL NO:

09/848,343

**CONFIRMATION NO. 6720** 

TITLE:

OPTICAL PICKUP FOR HIGH DENSITY RECORDING/REPRODUCTION AND

METHOD TO DETECT A REPRODUCTION SIGNAL

FILING DATE:

May 4, 2001

**DOCKET NO:** 

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October 27, 2004



